

CheckList para Revisiones:

a) Proyecto (número AWARD/OUTPUT y descripción): Project/Award 00134328		
b) Outputs: 00125926 Inclusión financiera y financiamiento para el desarrollo		
b) Tipo de revisión	<input checked="" type="checkbox"/> Inicial () General	() Sustantiva
c) Items verificados	(N/A) Solicitud de la contraparte documentada <input checked="" type="checkbox"/> Verificación de coincidencia de monto AWP vs. Atlas. <input checked="" type="checkbox"/> Justificación coherente con la revisión. <input checked="" type="checkbox"/> Corresponde firma del solicitante. <input checked="" type="checkbox"/> Unprogrammed funded en caratula Atlas es cero. (N/A) Otros	
d) Fecha operación:	15/02/2021	
Preparado por:		
Nombre:		Alexis Dick
Firma:		
<i>Alexis Dick</i>		
(persona que prepara la revisión en Atlas)		
Revisado por:		
Nombre:		Hans Baumgarten
Firma:		
<i>Hans Baumgarten</i>		
(oficiales de programa para revisiones Sustantivas)		
Aprobado por:		
Nombre:		Eduardo Allende Criscioni
Firma:		
<i>Eduardo Allende Criscioni</i>		
(Oficiales de Programa para revisiones Generales)		
(El aprobador dará el send to KK en Atlas).		

15/2/2021



UN Development Programme

Paraguay - Asuncion

Project: 00134328
Project Title: Inclusión financiera y financiamiento para el desarrollo
Start Year: 2021
End Year: 2021

Implementing Partner: UNDP

Responsible Parties: UNDP

Revision Type: Initial Project Approval

Budget (US\$) as of Last Revision on 15-February-2021		
Donor	Fund	Amount
UNDP	04000 TRAC (Lines 1.1.1 and 1.1.2)	45,000.00
Total Budget (2021 and Beyond)		45,000.00
Total Utilization (2020 and Prior)		0.00
Project Total		45,000.00
Unprogrammed/Unfunded		0.00

Project Description:

Se realiza la presente Revisión Inicial al Proyecto Inclusión financiera y financiamiento para el desarrollo Award 00134328 (Output 00125926) a fin de habilitar el presupuesto 2021.-
 Presupuesto Total US\$ 45.000.-

Agreed by:

Agreed by:

Agreed by:

Agreed by:

Jacqueline Hurard

Hans Baumgarten

Eduardo Allende Criscioni



Annual Work Plan

Paraguay - Asuncion

Project: 00134328

Report Date: 15/2/2021

Project Title: Inclusión financiera y financiamiento para el desarrollo

Year: 2021

Output	Key Activities	Timeframe		Responsible Party	Planned Budget				
		Start	End		Fund	Donor	Budget Descr	Amount US\$	
00125926 Inclusión Financiera	Apoyar al Comité Nacional	1/3/2021	31/12/2021	UNDP	04000	UNDP	71300	Local Consultants	20,000.00
				UNDP	04000	UNDP	74200	Audio Visual&Print Prod Costs	2,000.00
				UNDP	04000	UNDP	74500	Miscellaneous Expenses	1,000.00
				UNDP	04000	UNDP	75700	Training, Workshops and Confer	2,000.00
	Facilitar nuevos instrumentos	1/3/2021	31/12/2021	UNDP	04000	UNDP	71300	Local Consultants	9,000.00
				UNDP	04000	UNDP	75700	Training, Workshops and Confer	1,000.00
	Promover desarrollo financiero	1/3/2021	31/12/2021	UNDP	04000	UNDP	71200	International Consultants	3,000.00
				UNDP	04000	UNDP	71300	Local Consultants	4,000.00
				UNDP	04000	UNDP	75700	Training, Workshops and Confer	3,000.00
	TOTAL								45,000.00
	GRAND TOTAL								45,000.00

Jacqueline Hurard

Hans Baumgarten

Eduardo Allende Criscioni

Fondo de Participación

Título: Inclusión financiera y financiamiento para el desarrollo - Award 00134328 (Output 00125926)

Alfonso Fernández

Aprobador: Alfonso Fernández de Castro

Eduardo Allende

Gerente: Eduardo Allende

Hans Baumgarten

Coordinador: Hans Baumgarten

Descripción

PNUD Paraguay busca acelerar el financiamiento para el desarrollo como parte de su Programa País 2020-2024. Durante la “década de acción” que queda para llegar a los Objetivos de Desarrollo Sostenible (ODS), ha quedado evidenciado que se necesita fortalecer el financiamiento para el desarrollo movilizand recursos financieros del sector público y privado, tanto a nivel nacional como internacional, ampliando las fuentes y modalidades de financiamiento.

En ese sentido, para el 2021, la Oficina de País ha asignado recursos TRAC para impulsar la inclusión financiera a grupos vulnerables, con especial atención a las mujeres, movilizar financiamiento para los ODS, incluyendo a través de mecanismos e instrumentos financieros sostenibles y continuar con el fomento del ecosistema nacional de inversión de impacto.

Objetivo

Fortalecer el financiamiento para el desarrollo apoyando la inclusión financiera a grupos vulnerables, la generación de mecanismos e instrumentos para las finanzas sostenibles y la construcción de un ecosistema nacional de inversión de impacto.

Justificación

ALINEACIÓN CON OBJETIVOS CORPORATIVOS DEL ENGAGEMENT FACILITY:

- | | |
|-------------------------------------|---------------------------------|
| <input checked="" type="checkbox"/> | Apoyo a resultados de políticas |
| <input type="checkbox"/> | Evaluación y apreciación |
| <input type="checkbox"/> | Respuesta a una crisis |

Prueba de innovación con potencial de escalabilidad

ALINEACIÓN CON RESULTADOS DEL PLAN ESTRATÉGICO DEL PNUD 2018-2021

OUTCOME 1: Advance poverty eradication in all its forms and dimensions

X	1.1.2 Marginalised groups, particularly the poor, women, people with disabilities and displaced are empowered to gain universal access to basic services and financial and non-financial assets to build productive capacities and benefit from sustainable livelihoods and jobs
X	1.2.2 Enabling environment strengthened to expand public and private financing for the achievement of the SDG

Alianzas

Con el sector público se trabajará principalmente con el Ministerio de Hacienda (MH) en materia de inclusión financiera, deuda soberana e inversión pública. Con la Comisión Nacional de Valores (CNV) se trabajará en materia de finanzas sostenibles y posible conformación de mecanismos e instrumentos financieros sostenibles.

Otros actores de gobierno clave para el financiamiento para el desarrollo con los que se pretende conformar alianzas son el Banco Central del Paraguay (BCP), la Secretaría Técnica de Planificación (STP), el Ministerio de Industria y Comercio (MIC), Ministerio de Agricultura y Ganadería (MAG), Ministerio de la Mujer (MM), Ministerio del Medio Ambiente y Desarrollo Sostenible (MADES), el Ministerio de Desarrollo Social (MDS), el Ministerio de Relaciones Exteriores (MRE), el Gabinete Social de la Presidencia, la Agencia de Financiamiento para el Desarrollo (AFD) y el Banco Nacional de Fomento (BNF), entre otros.

Con el sector privado se trabajará con la Mesa de Finanzas Sostenibles (MFS), el Instituto Nacional de Cooperativismo (INCOOP), la Red de Inversionistas Ángeles, el Pacto Global, el Sistema B y otras empresas comprometidas con la responsabilidad social empresarial con especial atención a los entes binacionales: Itaipú y Yacyretá.

También se formarán alianzas con otras agencias del sistema de las Naciones Unidas, como la FAO y ONU Ambiente, así como con otras organizaciones internacionales pertinentes como el Banco Mundial, BID, CAF, etc.

Actividades

El Producto o resultado esperado de este Fondo de Participación es fortalecer el financiamiento para el desarrollo en Paraguay. Para lograr este resultado se realizarán las siguientes actividades:

Actividad 1. Apoyar al Comité Nacional de Inclusión Financiera (CNIF) a incorporar acciones prioritarias con enfoque de género en la Estrategia Nacional de Inclusión Financiera (ENIF)

El PNUD apoyará al MH en la implementación de acciones en el marco de la ENIF, con un enfoque prioritario en favor de la inclusión financiera de las mujeres de bajos recursos pertenecientes a sectores vulnerables como grupo prioritario. Se prevé brindar asistencia técnica para:

- (i) El diseño, la creación, la operación y el seguimiento del “Grupo de Trabajo Género” en el marco de la gobernanza del Comité Nacional de Inclusión Financiera (CNIF), presidida por el Ministro de Hacienda e integrada por el Banco Central del Paraguay (BCP), la Secretaria Técnica de Planificación (STP) y el Instituto Nacional de Cooperativismo (INCOOP);
- (ii) Gestión de conocimiento en cuanto a lecciones aprendidas en materia de poblaciones vulnerables, en particular la promoción de la igualdad de género mediante la inclusión financiera; y
- (iii) Publicación de manuales y capacitación a organizaciones de mujeres.

Actividad 2. Promover el desarrollo de las finanzas sostenibles y el ecosistema nacional para la inversión de impacto

En general, se prevé que el PNUD y la CNV puedan cooperar en el desarrollo de programas, proyectos e iniciativas sobre temas relacionados al desarrollo sostenible y sustentable, y el desarrollo ordenado, inclusivo, competitivo y transparente del mercado de valores a manera de contribuir al progreso económico y social del país, sumado al intercambio de conocimientos a través de actividades educativas y de capacitación tales como conferencias, presentaciones, y conversatorios, entre otros.

Se continuará el trabajo de difusión y socialización de las finanzas sostenibles y generación de un ecosistema nacional para la inversión de impacto con la CNV y otros actores claves. Se apoyará la organización de talleres y capacitaciones para este fin, y se generará espacios y participación de actores del sector público y privado para facilitar la conformación de una masa crítica para el ecosistema. También se brindará asistencia técnica y abogacía para implementar las recomendaciones a políticas públicas delineadas en el estudio diagnóstico del mercado realizado en el 2020.

Actividad 3. Facilitar la introducción de nuevos instrumentos de financiación para el desarrollo

Se brindará asistencia técnica a través de consultorías para generar mecanismos e instrumentos de financiamiento sostenible innovadores. Esto podrá incluir la estructuración del marco institucional y los arreglos de gobernanza del mecanismo, la elaboración de los contratos correspondientes y el acompañamiento de la emisión del producto financiero en sí. En este sentido, la Oficina de País

también facilitaría los espacios de diálogo y de gobernanza entre los diversos actores involucrados para implementar los mecanismos de financiamiento.

Resultados Esperados

Producto Esperado	Indicadores	Fuente de Datos	Línea de Base	Metas			Metodología y Riesgos de la Recolección de Datos
			Año	Valor	Año 2021	Final	
Producto Fortalecer el financiamiento para el desarrollo en Paraguay	1. Grupo de trabajo de mujeres en el ámbito del CNIF, creado e implementado.	PNUD	2020	0	1	1	Metodología. Recopilación y relevamiento de datos por parte de la coordinación del PNUD. Riesgos. No se prevén riesgos en recolección ya que la recolección depende directamente de los entregables de consultorías contratadas bajo el fondo de participación.
	2. Número de personas que participan de seminarios sobre finanzas sostenibles, desagregada por sexo (cuando sea posible)	PNUD	2021	0	500	500	
	3. Plan de acción para inversión de impacto en inclusión financiera disponible y publicado.	PNUD	2020	0	1	1	

Financiación

La proveniencia de la financiación es la siguiente:

Donante	Fondo	Monto
0012	04000	45.000
TOTAL		45.000

Plan de Trabajo

PRODUCTO	ACTIVIDAD	PRESUPUESTO	RESPONSABLE	PRESUPUESTO PREVISTO		
		2021		Fuente de Financiamiento	Descripción del Presupuesto	Monto
Producto 1. Fortalecer el financiamiento para el desarrollo en Paraguay	Actividad 1. Apoyar al Comité Nacional de Inclusión Financiera (CNIF) a incorporar acciones prioritarias con enfoque de género en la Estrategia Nacional de Inclusión Financiera (ENIF)	20.000	PNUD	04000	71300 Consultores Locales	20.000
		2.000	PNUD	04000	75700 Talleres	2.000
		2.000	PNUD	04000	74200 Publicaciones	2.000
		1.000	PNUD	04000	74500 Gastos Misceláneos	1.000
	Actividad 2. Promover el desarrollo de las finanzas sostenibles y el ecosistema nacional para la inversión de impacto	4.000	PNUD	04000	71300 Consultores Locales	4.000
		3.000	PNUD	04000	71200 Consultores Internacionales	3.000
		3.000	PNUD	04000	75700 Talleres	3.000
	Actividad 3. Facilitar la introducción de nuevos instrumentos de financiación para el desarrollo	9.000	PNUD	04000	71300 Consultores Locales	9.000
		1.000	PNUD	04000	75700 Talleres	1.000
	Subtotal Producto 1					
TOTAL						45.000

Matriz de Riesgos

Título del Proyecto: Inclusión financiera y financiamiento para el desarrollo	No. de Proyecto: Award 00134328 (Output 00125926)	Fecha: 10 febrero 2021
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#	Descripción	Fecha Identificado	Tipo	Impacto & Probabilidad	Medidas / Respuesta gerencial	Propietario	Remitido, actualizado por	Última actualización	Status
1	Exclusión de partes interesadas en los procesos participativos que se prevé impulsar desde el proyecto que termine en reclamos	10.02.2021	Político	P=2 I= 1	Se estima que el riesgo puede ser mitigado relativamente fácilmente aplicando principios del PNUD en espacios de diálogo multisectoriales donde la Oficina de País tiene experiencias previas.	PNUD	Hans Baumgarten	N/A	Vigente al inicio de las actividades
2	No se registran avances significativos en la ENIF por falta de toma de decisión y/o acción del CNIF	10.02.2021	Político	P=2 I= 2	Se apoyará en el liderazgo del Ministerio de Hacienda para coordinar la agenda de trabajo del CNIF y se dará apoyo técnico para realizar actividades previstas en la ENIF y dar seguimiento a las mismas.	PNUD	Hans Baumgarten	N/A	Vigente al inicio de las actividades

Social and Environmental Screening Template

Project Information

Project Information	
1. Project Title	Inclusión financiera y financiamiento para el desarrollo
2. Project Number (i.e. Atlas project ID, PIMS+)	Award 00134328 (Output 00125926)
3. Location (Global/Region/Country)	Paraguay
4. Project stage (Design or Implementation)	Implementación
5. Date	10 de febrero de 2021

Part A. Integrating Programming Principles to Strengthen Social and Environmental Sustainability

QUESTION 1: How Does the Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the project mainstreams the human rights-based approach

El Proyecto tiene enfoque de DD.HH. porque busca fomentar el ecosistema de inversión de impacto que incorpora a la actividad económica un impacto ambiental y/o social. El impacto ambiental y social que se generaría contribuiría al cumplimiento de los DD.HH. Además, busca promover la inclusión financiera como una manera de mejorar los ingresos para grupos vulnerables.

Briefly describe in the space below how the project is likely to improve gender equality and women's empowerment

El Proyecto busca la inclusión financiera con un enfoque en mujeres, viendo que este es un grupo con menor acceso a servicios financieros básicos. La inclusión financiera de las mujeres contribuirá al empoderamiento económico de ellas y se puede esperar con cierta razonabilidad que mejorarán sus condiciones de vida. La promoción del mercado de inversión de impacto con fines sociales se espera que también contribuya al empoderamiento económico de la mujer. Por último, existe la posibilidad de que se cree un instrumento financiero específico para financiar proyectos de inversión que tengan a mujeres como beneficiarias principales.

Briefly describe in the space below how the project mainstreams sustainability and resilience

La promoción del mercado de inversión de impacto con fines ambientales se espera que contribuya a generalizar la sostenibilidad ambiental y resiliencia en los modelos de negocio del mercado doméstico. También se apunta a crear instrumentos financieros específico para apalancar el financiamiento de proyectos de inversión sostenibles (ej. bonos verdes) del sector privado. En medida que se vaya creando una masa crítica de proyecto e inversionistas de este tipo, el mercado de negocios “verde” crecerá.

Briefly describe in the space below how the project strengthens accountability to stakeholders

El Proyecto fortalece la rendición de cuentas antes las partes interesadas ya que el PNUD trabajará en alianza con actores del sector público y privado para crear espacios de diálogo y coordinación que resulte en planes y acciones que tendrán seguimiento, contribuyendo a la transparencia de estas agendas conjuntas.

Part B. Identifying and Managing Social and Environmental Risks

<p>QUESTION 2: What are the Potential Social and Environmental Risks?</p> <p><i>Note: Complete SESP Attachment 1 before responding to Question 2.</i></p>	<p>QUESTION 3: What is the level of significance of the potential social and environmental risks?</p> <p><i>Note: Respond to Questions 4 and 5 below before proceeding to Question 5</i></p>			<p>QUESTION 6: Describe the assessment and management measures for each risk rated Moderate, Substantial or High</p>
<p>Risk Description <i>(broken down by event, cause, impact)</i></p>	<p>Impact and Likelihood (1-5)</p>	<p>Significance <i>(Low, Moderate Substantial, High)</i></p>	<p>Comments (optional)</p>	<p>Description of assessment and management measures for risks rated as Moderate, Substantial or High</p>
<p>Risk 1: exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?</p>	<p>I = 2 L = 1</p>	<p>Low</p>		



<p>Risk 2: grievances or objections from potentially affected stakeholders?</p>	<p>I = 2 L = 1</p>	<p>Low</p>		
<p>QUESTION 4: What is the overall project risk categorization?</p>				
<p>Low Risk <input checked="" type="checkbox"/> El Proyecto se considera de bajo riesgo ya que los riesgos identificados son de impacto y probabilidad baja, y se estima que pueden ser mitigados relativamente fácilmente aplicando principios del PNUD en espacios de dialogo multisectoriales donde la Oficina de País tiene experiencias previas.</p>				
<p>Moderate Risk <input type="checkbox"/></p>				
<p>Substantial Risk <input type="checkbox"/></p>				
<p>High Risk <input type="checkbox"/></p>				
<p>QUESTION 5: Based on the identified risks and risk categorization, what requirements of the SES are triggered? (check all that apply)</p>				
<p>Question only required for Moderate, Substantial and High Risk projects</p>				
<p><u>Is assessment required? (check if "yes")</u> <input type="checkbox"/> Status? (completed, planned)</p>				
<p><i>if yes, indicate overall type and status</i> <input type="checkbox"/> Targeted assessment(s)</p>				
<p><input type="checkbox"/> ESIA (Environmental and Social Impact Assessment)</p>				
<p><input type="checkbox"/> SESA (Strategic Environmental and Social Assessment)</p>				

	Are management plans required? (check if “yes)	<input type="checkbox"/>										
	<i>If yes, indicate overall type</i>		<table border="1"> <tr> <td data-bbox="1304 241 1360 456"><input type="checkbox"/></td> <td data-bbox="1360 241 1755 456">Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)</td> <td data-bbox="1755 241 1923 456"></td> </tr> <tr> <td data-bbox="1304 456 1360 634"><input type="checkbox"/></td> <td data-bbox="1360 456 1755 634">ESMP (Environmental and Social Management Plan which may include range of targeted plans)</td> <td data-bbox="1755 456 1923 634"></td> </tr> <tr> <td data-bbox="1304 634 1360 771"><input type="checkbox"/></td> <td data-bbox="1360 634 1755 771">ESMF (Environmental and Social Management Framework)</td> <td data-bbox="1755 634 1923 771"></td> </tr> </table>	<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)		<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)		<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)	
<input type="checkbox"/>	Targeted management plans (e.g. Gender Action Plan, Emergency Response Plan, Waste Management Plan, others)											
<input type="checkbox"/>	ESMP (Environmental and Social Management Plan which may include range of targeted plans)											
<input type="checkbox"/>	ESMF (Environmental and Social Management Framework)											
	Based on identified <u>risks</u>, which Principles/Project-level Standards triggered?		Comments (not required)									
	Overarching Principle: Leave No One Behind											
	Human Rights	<input type="checkbox"/>										
	Gender Equality and Women’s Empowerment	<input type="checkbox"/>										
	Accountability	<input type="checkbox"/>										
	1. Biodiversity Conservation and Sustainable Natural Resource Management	<input type="checkbox"/>										
	2. Climate Change and Disaster Risks	<input type="checkbox"/>										
	3. Community Health, Safety and Security	<input type="checkbox"/>										
	4. Cultural Heritage	<input type="checkbox"/>										

	5. Displacement and Resettlement	<input type="checkbox"/>	
	6. Indigenous Peoples	<input type="checkbox"/>	
	7. Labour and Working Conditions	<input type="checkbox"/>	
	8. Pollution Prevention and Resource Efficiency	<input type="checkbox"/>	

Final Sign Off

Final Screening at the design-stage is not complete until the following signatures are included

Signature	Date	Description
QA Assessor  Eduardo Allende		UNDP staff member responsible for the project, typically a UNDP Programme Officer. Final signature confirms they have “checked” to ensure that the SESP is adequately conducted.
QA Approver  Alfonso Fernández de Castro		UNDP senior manager, typically the UNDP Deputy Country Director (DCD), Country Director (CD), Deputy Resident Representative (DRR), or Resident Representative (RR). The QA Approver cannot also be the QA Assessor. Final signature confirms they have “cleared” the SESP prior to submittal to the PAC.
PAC Chair <i>N/A al ser un Engagement Facility</i>		UNDP chair of the PAC. In some cases PAC Chair may also be the QA Approver. Final signature confirms that the SESP was considered as part of the project appraisal and considered in recommendations of the PAC.

SESP Attachment 1. Social and Environmental Risk Screening Checklist

Checklist Potential Social and Environmental Risks	
INSTRUCTIONS: The risk screening checklist will assist in answering Questions 2-6 of the Screening Template. Answers to the checklist questions help to (1) identify potential risks, (2) determine the overall risk categorization of the project, and (3) determine required level of assessment and management measures. Refer to the SES toolkit for further guidance on addressing screening questions.	
Overarching Principle: Leave No One Behind Human Rights	Answer (Yes/No)
P.1 Have local communities or individuals raised human rights concerns regarding the project (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
P.2 Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the project?	No
P.3 Is there a risk that rights-holders (e.g. project-affected persons) do not have the capacity to claim their rights?	No
<i>Would the project potentially involve or lead to:</i>	
P.4 adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	No
P.5 inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities? ¹	No

¹ Prohibited grounds of discrimination include race, ethnicity, sex, age, language, disability,

P.6	restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7	exacerbation of conflicts among and/or the risk of violence to project-affected communities and individuals?	No
Gender Equality and Women's Empowerment		
P.8	Have women's groups/leaders raised gender equality concerns regarding the project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	No
<i>Would the project potentially involve or lead to:</i>		
P.9	adverse impacts on gender equality and/or the situation of women and girls?	No
P.10	reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	No
P.11	limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services? <i>For example, activities that could lead to natural resources degradation or depletion in communities who depend on these resources for their livelihoods and well being</i>	No
P.12	exacerbation of risks of gender-based violence? <i>For example, through the influx of workers to a community, changes in community and household power dynamics, increased exposure to unsafe public places and/or transport, etc.</i>	No

sexual orientation, gender identity, religion, political or other opinion, national or social or geographical origin, property, birth or other status including as an indigenous person or as a member of a minority. References to "women and men" or similar is understood to include women and men, boys and girls, and other groups discriminated against based on their gender identities, such as transgender and transsexual people.

Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below	
Accountability	
<i>Would the project potentially involve or lead to:</i>	
P.13 exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	Yes
P.14 grievances or objections from potentially affected stakeholders?	Yes
P.15 risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project?	No
Project-Level Standards	
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management	
<i>Would the project potentially involve or lead to:</i>	
1.1 adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services? <i>For example, through habitat loss, conversion or degradation, fragmentation, hydrological changes</i>	No
1.2 activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods? (Note: if restrictions and/or limitations of access to lands would apply, refer to Standard 5)	No

1.4	risks to endangered species (e.g. reduction, encroachment on habitat)?	No
1.5	exacerbation of illegal wildlife trade?	No
1.6	introduction of invasive alien species?	No
1.7	adverse impacts on soils?	No
1.8	harvesting of natural forests, plantation development, or reforestation?	No
1.9	significant agricultural production?	No
1.10	animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11	significant extraction, diversion or containment of surface or ground water? <i>For example, construction of dams, reservoirs, river basin developments, groundwater extraction</i>	No
1.12	handling or utilization of genetically modified organisms/living modified organisms? ²	No
1.13	utilization of genetic resources? (e.g. collection and/or harvesting, commercial development) ³	No
1.14	adverse transboundary or global environmental concerns?	No
Standard 2: Climate Change and Disaster Risks		
<i>Would the project potentially involve or lead to:</i>		
2.1	areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	No
2.2	outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters?	No

² See the [Convention on Biological Diversity](#) and its [Cartagena Protocol on Biosafety](#).

³ See the [Convention on Biological Diversity](#) and its [Nagoya Protocol](#) on access and benefit sharing from use of genetic resources.

	<i>For example, through increased precipitation, drought, temperature, salinity, extreme events, earthquakes</i>	
2.3	increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)? <i>For example, changes to land use planning may encourage further development of floodplains, potentially increasing the population's vulnerability to climate change, specifically flooding</i>	No
2.4	increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No
Standard 3: Community Health, Safety and Security		
<i>Would the project potentially involve or lead to:</i>		
3.1	construction and/or infrastructure development (e.g. roads, buildings, dams)? (Note: the GEF does not finance projects that would involve the construction or rehabilitation of large or complex dams)	No
3.2	air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3	harm or losses due to failure of structural elements of the project (e.g. collapse of buildings or infrastructure)?	No
3.4	risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5	transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6	adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7	influx of project workers to project areas?	No

3.8	engagement of security personnel to protect facilities and property or to support project activities?	No
Standard 4: Cultural Heritage		
<i>Would the project potentially involve or lead to:</i>		
4.1	activities adjacent to or within a Cultural Heritage site?	No
4.2	significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3	adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4	alterations to landscapes and natural features with cultural significance?	No
4.5	utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No
Standard 5: Displacement and Resettlement		
<i>Would the project potentially involve or lead to:</i>		
5.1	temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No
5.2	economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions – even in the absence of physical relocation)?	No
5.3	risk of forced evictions? ⁴	No

⁴ Forced eviction is defined here as the permanent or temporary removal against their will of individuals, families or communities from the homes and/or land which they occupy, without the provision of, and access to, appropriate forms of legal or other protection. Forced evictions

5.4	impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No
Standard 6: Indigenous Peoples		
<i>Would the project potentially involve or lead to:</i>		
6.1	areas where indigenous peoples are present (including project area of influence)?	No
6.2	activities located on lands and territories claimed by indigenous peoples?	No
6.3	impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the project is located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)? <i>If the answer to screening question 6.3 is "yes", then the potential risk impacts are considered significant and the project would be categorized as either Substantial Risk or High Risk</i>	No
6.4	the absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5	the utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6	forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 5 above</i>	No

constitute gross violations of a range of internationally recognized human rights.

6.7	adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8	risks to the physical and cultural survival of indigenous peoples?	No
6.9	impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices? <i>Consider, and where appropriate ensure, consistency with the answers under Standard 4 above.</i>	No
Standard 7: Labour and Working Conditions		
<i>Would the project potentially involve or lead to: (note: applies to project and contractor workers)</i>		
7.1	working conditions that do not meet national labour laws and international commitments?	No
7.2	working conditions that may deny freedom of association and collective bargaining?	No
7.3	use of child labour?	No
7.4	use of forced labour?	No
7.5	discriminatory working conditions and/or lack of equal opportunity?	Yes
7.6	occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the project life-cycle?	Yes
Standard 8: Pollution Prevention and Resource Efficiency		
<i>Would the project potentially involve or lead to:</i>		No
8.1	the release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No

8.2	the generation of waste (both hazardous and non-hazardous)?	No
8.3	the manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4	the use of chemicals or materials subject to international bans or phase-outs? <i>For example, DDT, PCBs and other chemicals listed in international conventions such as the Montreal Protocol, Minamata Convention, Basel Convention, Rotterdam Convention, Stockholm Convention</i>	No
8.5	the application of pesticides that may have a negative effect on the environment or human health?	No
8.6	significant consumption of raw materials, energy, and/or water?	No


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Carbon Copy Events	Status	Timestamp
Jacqueline Hurard jacqueline.hurard@undp.org Asistente de Programa UNDP Headquarters Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign	COPIED	Sent: 2/15/2021 1:37:55 AM Viewed: 2/15/2021 9:29:28 AM
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Asistente de Programa		Signed: 2/15/2021 11:40:37 AM
UNDP Headquarters	Signature Adoption: Pre-selected Style	
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Certified Delivery Events	Status	Timestamp
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Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
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